

**Notice of Allowability**

Application No.

10/690,099

Examiner

Kim Nguyen

Applicant(s)

LEMKE ET AL.

Art Unit

3713

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment on 9/2/05.
2. ☒ The allowed claim(s) is/are 1-10, 23-32 and 47 Now renumbered as 1-21.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

**EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Brian Young on 10/10/05.

The application has been amended as follows:

**Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

1. (currently amended) A method of tracking players at a gaming table that includes a plurality of player positions, the method comprising:
  - receiving a card from a player at one of the player positions;
  - reading player information from the card with a card reader;
  - depicting the player positions of the gaming table on a display;
  - associating a respective player position of the player on the display with the card by touching the respective player position depicted on the display, the respective player position being a position at which the player is located at the gaming table;
  - determining a regulatory function that is required based on regulatory compliance rules for the player; and
  - displaying information for the regulatory function at the respective player position of the player depicted on the display.

2. (original) The method of claim 1, wherein the regulatory function comprises a currency buy-in regulation.
3. (original) The method of claim 2, wherein determining the regulatory function comprises:
  - tracking a currency buy-in amount for the player; and
  - storing the currency buy-in amount.
4. (original) The method of claim 1, wherein the regulatory function comprises a currency transaction threshold regulation.
5. (original) The method of claim 4, further comprising receiving a designation of a currency transaction threshold.
6. (original) The method of claim 5, wherein the currency transaction threshold comprises a currency transaction threshold for a single transaction or a currency transaction threshold for one or more transactions in a time period.
7. (original) The method of claim 5, further comprising communicating an alert if the currency transaction threshold is reached by the player.
8. (original) The method of claim 7, further comprising receiving a reply for the communicated alert from the player.
9. (original) The method of claim 8, wherein the reply includes information for the player.

10. (previously presented) The method of claim 8, wherein the reply includes an acknowledgment from the player that the alert has been communicated to the player.

11-22.(canceled)

23. (currently amended) A system for tracking play on a gaming table that includes a plurality of player positions, the system comprising:

a computer database;

a card reader, the card reader being coupled to the computer database with a communication channel; and

a display monitor, the display monitor being coupled to the computer database with the communication channel, the display monitor being configured to depict player positions where players are physically positioned at the table, wherein the display monitor comprises a touchscreen for associating a card of a player being read by the card reader with a respective player position of the player at the table upon a touching of the respective player position depicted on the touchscreen, the respective player position depicted by the display monitor indicating the player associated with the card read by the card reader; and

a regulatory module configured to perform a regulatory function for the player that is associated with the card based on regulatory compliance rules,

wherein the display monitor is configured to display information for the regulatory function at the respective player position of the player depicted on the touchscreen.

24. (original) The system of claim 23, wherein the regulatory function comprises a currency buy-in regulation.

25. (original) The system of claim 24, wherein the regulatory module is configured to:

track a currency buy-in amount for the player; and  
store the currency buy-in amount.

26. (original) The system of claim 23, wherein the regulatory function comprises currency transaction threshold regulation.

27. (original) The system of claim 26, wherein the regulatory module is configured to receive a designation of a currency transaction threshold.

28. (original) The system of claim 26, wherein the currency transaction threshold comprises a currency transaction threshold for a single transaction or a currency transaction threshold for one or more transactions in a time period.

29. (original) The system of claim 26, wherein the regulatory module is configured to communicate an alert if the currency transaction threshold is reached by the player.

30. (original) The system of claim 29, wherein the regulatory module is configured to receive a reply for the communicated alert from the player.

31. (original) The system of claim 30, wherein the reply includes information for the player.

32. (original) The system of claim 31, wherein the reply includes an acknowledgment from the player that the alert has been communicated to the player.

Art Unit: 3713

33-46.(canceled)

47. (currently amended) A method of tracking players at a gaming table that includes a plurality of player positions, the method comprising:


- receiving a card from a player at one of the player positions;
- reading player information from the card with a card reader;
- depicting the player positions of the gaming table on a display;
- associating a respective player position of the player on the display with the card by touching the respective player position depicted on the display at which the player is located at the gaming table;
- determining a regulatory function that is required based on regulatory compliance rules for the player in response to the touching of the respective player position depicted on the display; and
- displaying information for the regulatory function at the respective player position of the player depicted on the display.

48. (canceled)

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kim Nguyen whose telephone number is 571-272-4441. The examiner can normally be reached on Monday-Thursday during business hours.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Xuan Thai, can be reached on 571-272-7147. The central official fax number for the organization where this application or proceeding is assigned is 571-273-8300.

kn  
Date: October 14, 2005

  
Kim Nguyen  
Primary Examiner  
Art Unit 3713